Contract and Subcontract Activity

U.S. Department of Housing and Urban Development

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collecton displays a valid OMB control number.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the Department of Commerce requires an annual report on MBE achievements. The information provided on Public and Indian Housing Programs will be used to monitor and evaluate HA performance and to develop and submit the Annual Report to the President. Responses to the collection of information are voluntary. The information requested does not lend itself to confidentiality

Grantee/Project Owner/Developer/Sponsor/Builder/Agency						Check if: PIH		t. Location (City, State, ZIP Code)								
						CPD										
						Housin										
3a. Name of Contact Person 3b. Phone Number (Including Area Coo						Reporting F	-	5. Program Code (Not ap			able for CPD programs.)		6. Date Submitted to Field Office			
The state of the s							t. 1 - Sept. 30 (/	(Annual -FY)		See explanation of codes at bottom of page.						
Grant/Project Number or HUD Case Number or other identification of property, subdivision, dwelling unit, etc.	Amount of Type o Contract Trade or Subcontract Code (See		Subcontracto r Business Racial/Ethnic Business		Prime Contractor Identification (ID) Number	Sec.	Subcontractor Identification (ID) Number	Sec.	Us	Use a separate sheet for each program code. Contractor/Subcontractor Name and Address 7j.						
		below)	(See below)	(Yes or No)						Name	Street		City	State	Zip Code	
7a.	7b	7c	7d.	7e.	7f.	7g.	7h.	7i.		Name	Olleet		Oity	Otate	Zip Code	
7c: Type of Trade Codes:								7d: Racial/Ethnic Codes: 5: Program Codes (Complete for Housing and Public and Indian Housing programs only):								
CPD: Housing/Public Housing:					1	1 = White Americans 2 = Black Americans					1 = All insured, including Section 8 5 = Section 202					
1 = New Construction	1 = New Construction 6 = Professiona									•		6 = HUD-Held (Mana	, ,			
2 = Education/Training 2 = Substantial Rehab. 7 = Tenant Sen 3 = Other 3 = Papair 8 = Education/T						3 = Native Americans 4 = Hispanic Americans			3 = Section 8 Noninsured, Non-HFDA 7 = Public/Indian House 4 = Insured (Management 8 = Section 811			using				
3 = Other	3 = Repair			9 = Arch./Engrg. Appraisal				4 = historia (management) 5 = Asian/Pacific Americans					O - Occilon o n			
	4 = Service 5 = Project Mangt.			0 = Other				6 = Hasidic Jews								

OMB Approval No.: 2577-0088 (exp.10/31/2000)

This report is to be completed by grantees, developers, sponsors, builders, agencies, and/or project owners for reporting contract and subcontract activities of \$10,000 or more under CPD programs including but not limited to: Community Development Block Grants (entitlement); Housing Development Grants; Multi-family Insured and Non-insured; Public and Indian Housing Authorities; and contracts entered into by recipients of CDBG rehabilitation assistance.

Contracts/subcontracts of less than \$10,000 need be reported only if such contracts represent a significant portion of your total contracting activity. Include only contracts executed during this reporting period.

This form has been modified to capture Section 3 contract data in columns 7g and 7i. Section 3 requires that the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs shall, to the greatest extent feasible, be directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. Recipients using this form to report Section 3 contract data must also use Part I of form HUD-60002 to report employment and training opportunities data. Form HUD-2516 is to be completed

for public and Indian housing and most community development programs. Form HUD-60002 is to be completed by all other HUD programs including State administered community development programs covered under Section 3.

A Section 3 contractor/subcontractor is a business concern that provides economic opportunities to low- and very low-income residents of the metropolitan area (or nonmetropolitan county), including a business concern that is 51 percent or more owned by low- or very low-income residents; employs a substantial number of low- or very low-income residents; or provides subcontracting or business development opportunities to businesses owned by low- or very low-income residents. Low- and very low-income residents include participants in Youthbuild programs established under Subtitle D of Title IV of the Cranston-Gonzalez National Affordable Housing Act.

The terms "low-income persons" and "very low-income persons" have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. Low-income persons mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that the Secretary may establish

income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low-income families. Very low-income persons means low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Submit two (2) copies of this report to your local HUD Office within ten (10) days after the end of the reporting period you checked in item 4 on the front. Complete item 7h. only once for each contractor/subcontractor on each semi-annual report. Enter the prime contractor's ID in item 7f. for all contracts and subcontracts. Include only contracts executed during this reporting period. PHAs/IHAs are to report all contracts. Specifications for Women Owned Businesses must be checked in column 7e as well as the identification of the Racial/Ethnic Code in column 7d. Contracts to Women Owned Businesses require documentation for both 7d and 7e.

Community Development Programs

- 1. Grantee: Enter the name of the unit of government submitting this report.
- 2. Location self explanatory
- **3. Contact Person:** Enter name and phone of person responsible for maintaining and submitting contract/subcontract data.
- **7a. Grant Number:** Enter the HUD Community Development Block Grant Identification Number (with dashes). For example: B-32-MC-25-0034. For Entitlement Programs and Small City multi-year comprehensive programs, enter the latest approved grant number.
- **7b. Amount of Contract/Subcontract:** Enter the dollar amount rounded to the nearest dollar. If subcontractor ID number is provided in 7f, the dollar figure would be for the subcontract only and not for the prime contract.
- **7c. Type of Trade:** Enter the numeric codes which best indicates the contractor's/ subcontractor's service. If subcontractor ID number is provided in 7f., the type of trade code would be for the subcontractor only and not for the prime contractor. The "other" category includes supply, professional services and all other activities except construction and education/training activities.
- **7d. Business Racial/Ethnic/Gender Code:** Enter the numeric code which indicates the racial/ethnic /gender character of the owner(s) and controller(s) of 51% of the business. When 51% or more is not owned and controlled by any single racial/ethnic/gender category, enter the code which seems most appropriate. If the subcontractor ID number is provided, the code would apply to the subcontractor and not to the prime contractor.
- 7e. Woman Owned Business: Enter Yes or No.
- **7f. Contractor Identification (ID) Number:** Enter the Employer (IRS) Number of the Prime Contractor as the unique identifier for prime recipient of HUD funds. Note that the Employer (IRS) Number must be provided for each contract/subcontract awarded.
- 7g. Section 3 Contractor: Enter Yes or No.
- **7h. Subcontractor Identification (ID) Number:** Enter the Employer (IRS) Number of the subcontractor as the unique identifier for each subcontract awarded from HUD funds. When the subcontractor ID Number is provided, the respective Prime Contractor ID Number must also be provided.
- 7i. Section 3 Contractor: Enter Yes or No.
- **7j. Contractor/Subcontractor Name and Address:** Enter this information for each firm receiving contract/subcontract activity only one time on each report for each firm.

Multifamily Housing Programs

- Grantee/Project Owner: Enter the name of the unit of government, agency or mortgagor entity submitting this report.
- 2. Location self explanatory
- 3. Contact Person: Same as item 3 under CPD Programs.
- 4. Reporting Period: Check only one period.
- **5. Program Code:** Enter the appropriate program code.
- **7a. Grant/Project Number:** Enter the HUD Project Number or Housing Development Grant or number assigned.
- 7b. Amount of Contract/Subcontract: Same as item 7b. under CPD Programs.
- 7c. Type of Trade: Same as item 7c. under CPD Programs.
- 7d. Business Racial/Ethnic/Gender Code: Same as item 7d. under CPD Programs.
- 7e. Woman Owned Business: Enter Yes or No.
- 7f. Contractor Identification (ID) Number: Same as item 7f. under CPD Programs.
- 7g. Section 3 Contractor: Enter Yes or No.
- **7h. Subcontractor Identification (ID) Number:** Same as item 7h. under CPD Programs.
- 7i. Section 3 Contractor: Enter Yes or No.
- **7j. Contractor/Subcontractor Name and Address:** Same as item 7j. under CPD Programs.

Public Housing and Indian Housing Programs

PHAs/IHAs are to report all contracts/subcontracts. Include only contracts executed during this reporting period.

- **1. Project Owner:** Enter the name of the unit of government, agency or mortgagor entity submitting this report. Check box as appropriate.
- 2. Location(City, State, ZipCode)
- 2a. Local Code
- 3. Contact Person: Same as item 3 under CPD Programs.
- 4. Reporting Period: Check only one period.
- 5. Program Code: Enter the appropriate program code.
- **7a. Grant/Project Number:** Enter the HUD Project Number or Housing Development Grant or number assigned.
- 7b. Amount of Contract/Subcontract: Same as item 7b. under CPD Programs.
- 7c. Type of Trade: Same as item 7c. under CPD Programs.
- **7d. Business Racial/Ethnic/Gender Code:** Same as item 7d. under CPD Programs. and education/training activities.
- 7e. Woman Owned Business: Enter Yes or No.
- 7f. Contractor Identification (ID) Number: Same as item 7f. under CPD Programs.
- 7g. Section 3 Contractor: Enter Yes or No.
- **7h. Subcontractor Identification (ID) Number:** Same as item 7h. under CPD Programs.
- 7i. Section 3 Contractor: Enter Yes or No.
- **7j. Contractor/Subcontractor Name and Address:** Same as item 7j. under CPD Programs.

Previous editions are obsolete. form **HUD-2516** (8/98)